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# **USB4000 Data Sheet**

# Description

The Ocean Optics USB4000 Spectrometer is designed from the USB2000 Spectrometer to include an advanced detector and powerful high-speed electronics. The result is a compact, flexible system, with no moving parts, that's easily integrated as an OEM component.



The USB4000 features a 16-bit A/D with auto-nulling (an enhanced electrical dark signal correction), 5 total triggering options, a dark-level correction during temperature changes, and a 22-pin connector with 8 user-programmable GPIOs. The modular USB4000 is responsive from 200-1100 nm and can be configured with various Ocean Optics optical bench accessories, light sources and sampling optics, to create application-specific systems for thousands of absorbance, reflection and emission applications.

The USB4000 interfaces to a computer via USB 2.0 or RS-232 communications. Data unique to each spectrometer is programmed into a memory chip on the USB4000; SpectraSuite Spectroscopy Cross-platform Operating Software reads these values for easy setup and hot swapping among computers, whether they run on Linux, Mac or Windows operating systems. The USB4000 operates from the +5V power, provided through the USB, or from a separate power supply and an RS-232 interface.

The detector used in the USB4000 spectrometer is a high-sensitivity 3648-element CCD array from Toshiba, product number TCD1304AP. (For complete details on this detector, visit Toshiba's web site at <u>www.toshiba.com</u>. Ocean Optics applies a coating to all TCD1304AP detectors, so the optical sensitivity could vary from that specified in the Toshiba datasheet). An electronic shutter feature provides for spectrometer integration times as fast as 10 microseconds to prevent detector saturation.

The USB4000 operates off of a single +5VDC supply and either a USB or RS-232 interface. It has a 22-pin external interface to easily integrate with Ocean Optics other modular components to for an entire system.



# Features

- **TCD1304AP Detector**
- □ Responsive from 200 to 1100 nm, specific range and resolution depends on your grating and entrance slit choices
- □ Sensitivity of up to 130 photons/count at 400 nm; 60 photons/count at 600 nm
- □ An optical resolution of  $\sim 0.3 10.0$  nm (FWHM)
- □ A wide variety of optics available
  - 14 gratings
  - 6 slit widths
  - 3 detector coatings
  - 6 optical filters
- $\Box$  Integration times from 10 µs to 65 seconds
- Embedded microcontroller allows programmatic control of all operating parameters and standalone operation
  - USB 2.0 480Mbps (high speed) and 12Mbps (full speed)
  - RS232 115K baud
  - Multiple communication standards for digital accessories (SPI, I<sup>2</sup>C)
- □ EEPROM storage for
  - Wavelength Calibration Coefficients
  - Linearity Correction Coefficients
  - Absolute Irradiance Calibration (optional)
- □ Low power consumption of only 250 mA @ 5 VDC
- □ 16 bit, 3MHz A/D Converter
- □ 4 triggering modes
- □ 2 programmable strobe signals for triggering other devices
- □ 24-pin connector for interfacing to external products
- Dependence of the organization of the organiza
- □ CE Certification



# **Specifications**

Specifications	Criteria	
Absolute Maximum Ratings:		
Vcc	+ 5.5 VDC	
Voltage on any pin	Vcc	
Physical Specifications:		
Physical Dimensions	89.1 mm x 63.3 mm x 34.4 mm	
Weight	190 g	
Power:		
Power requirement (master)	230 mA at +5 VDC	
Supply voltage	4.5 – 5.5 V	
Power-up time	~5s depending on code size	
Spectrometer:		
Design	Asymmetric crossed Czerny-Turner	
Focal length (input)	42mm	
Focal length (output)	68mm (75, 83, and 90mm focal lengths are also available)	
Input Fiber Connector	SMA 905	
Gratings	14 different gratings	
Entrance Slit	5, 10, 25, 50, 100, or 200 $\mu m$ slits. (Slits are optional. In the absence of a slit, the fiber acts as the entrance slit.)	
Detector	Toshiba TCD1304AP linear CCD array	
Filters	2 <sup>nd</sup> and 3 <sup>rd</sup> order rejection, long pass (optional)	
Spectroscopic:		
Integration Time	10 µs – 65 seconds	
Dynamic Range	2 x 10 <sup>8</sup> (system); 1000:1 for a single acquisition	
Signal-to-Noise	300:1 (at full signal)	
Dark Noise	24 counts RMS	
Resolution (FWHM)	0.03 – 10.0 nm FWHM	
Stray Light	<0.05% at 600 nm; <0.10% at 435 nm	
Spectrometer Channels	One	
Environmental Conditions:		
Temperature	-30° to +70° C Storage & -10° to +50° C Operation	
Humidity	0% - 90% noncondensing	
Interfaces:		
USB	USB 2.0, 480 Mbps	
RS-232	2-wire RS-232	



# **Mechanical Diagram**

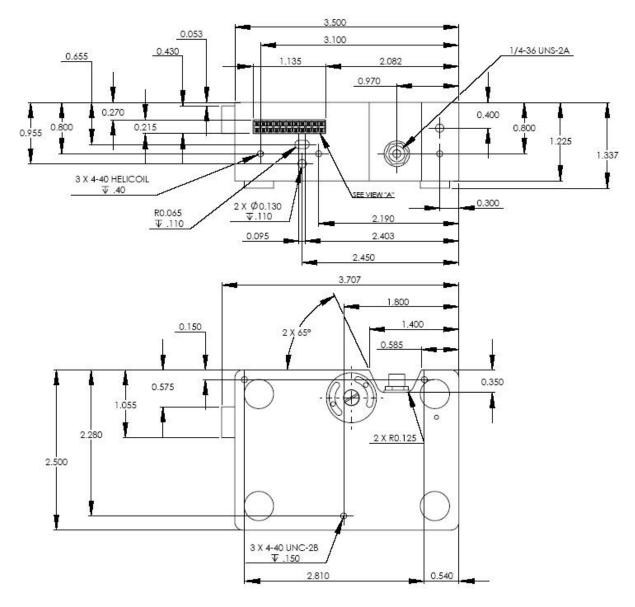


Figure 1: USB4000 Outer Dimensions



# **Electrical Pinout**

Listed below is the pin description for the USB4000 Accessory Connector located on the front vertical wall of the unit. The connector is a Samtec part # IPT1-111-01-S-D-RA connector. The vertical mate to this is part #IPS1-111-01-S-D-VS and the right angle PCB mount is part #IPS1-111-01-S-D-RA.

Pin#	Description			
		Pin orientation		
1	V <sub>USB</sub>			
2	RS232 Tx	20 18 16 14 12 10 8 6 4 2 A2		
3	RS232 Rx	<u>19 17</u> 15 13 11 9 7 5 3 1 A1		
4	Lamp Enable			
5	Continuous Strob	Looking at Front of USB4000 SMA		
6	Ground			
7	External Trigger			
8	Single Strobe			
9	I <sup>2</sup> C SCL			
10	I <sup>2</sup> C SDA			
11	MOSI			
12	MISO			
13	GPIO-1P			
14	GPIO-2P			
15	GPIO-1N			
16	GPIO-2N			
17	GPIO-3P			
18	GPIO-4P			
19	GPIO-3N			
20	GPIO-4N			
A1	SPI_CLK			
A2	SPICS_OUT			
Function	Input/Output	Description		
$V_{CC}$ , $V_{USB}$ or $5V_{in}$	Input or Outpu	This is the input power pin to the USB4000. Additionally when operating via a Universal Serial Bus (USB) this is the USB power connection (+5V) which can be used to power other peripherals (Care must be taken to insure that the peripheral complies with USB Specifications).		
RS232 Tx	Output	RS232 Transmit signal – for communication with PC connect to DB9 pin 2		
RS232 Rx	Input	RS232 Receive signal – for communication with PC connect to DB9 pin 3.		
Lamp Enable	Output	A TTL signal that is driven Active HIGH when the Lamp Enable command is sent to the USB4000.		
Continuous Strob	e Output	TTL output signal used to pulse a strobe that is divided down from the Master Clock signal.		
Ground	Input/Output	Ground		



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Function	Input/Output	Description
Single Strobe	Output	TTL output pulse used as a strobe signal, which has a programmable delay relative to the beginning of the spectrometer integration period.
External Trigger In	Input	The TTL input trigger signal. In External Hardware Trigger mode this is a rising edge trigger input. In Software Trigger Mode this is an Active HIGH Level signal. In External Synchronization Mode this is a clock input, which defines the integration period of the spectrometer.
I <sup>2</sup> C SCL	Input/Output	The I <sup>2</sup> C Clock signal for communications to other I <sup>2</sup> C peripherals.
I <sup>2</sup> C SDA	Input/Output	The I <sup>2</sup> C Data signal for communications to other I <sup>2</sup> C peripherals.
GPIO(1P-4P) GPIO(1N-4N)	Input/Output	8 2.5V General Purpose Software Programmable Digital Inputs/Outputs.
MOSI	Output	The SPI Master Out Slave In (MOSI) signal for communications to other SPI peripherals.
MISO	Input	The SPI Master In Slave Out (MISO) signal for communications to other SPI peripherals.
SPI CLK	Output	The SPI Clock signal for communications to other SPI peripherals.
SPICS OUT	Output	The SPI Chip/Device Select signal for communications to other SPI peripherals



# **CCD** Overview

## **CCD Detector**

The detector used for the USB4000 is a charge transfer device (CCD) that has a fixed well depth (capacitor) associated with each photodetector (pixel).

Charge transfer, reset and readout initiation begin with the integration time clock going HIGH. At this point, the remaining charge in the detector wells is transferred to a shift register for serial transfer. This process is how the array is read.

The reset function recharges the photodetector wells to their full potential and allows for nearly continuous integration of the light energy during the integration time, while the data is read out through serial shift registers. At the end of an integration period, the process is repeated.

When a well is fully depleted by leakage through the back-biased photodetector, the detector is considered saturated and provides the maximum output level. The CCD is a depletion device and thus the output signal is inversely proportional to the input photons. The electronics in the USB4000 invert and amplify this electrical signal.

## **CCD Detector Reset Operation**

At the start of each integration period, the detector transfers the signal from each pixel to the readout registers and resets the pixels. The total amount of time required to perform this operation is  $\sim 12\mu s$ . The user needs to account for this time delay when the pixels are optically inactive, especially in the external triggering modes.

# Signal Averaging

Signal averaging is an important tool in the measurement of spectral structures. It increases the S:N and the amplitude resolution of a set of samples. The types of signal averaging available in our software are time-based and spatial-based.

When using the time-base type of signal averaging, the S:N increases by the square root of the number of samples. Signal averaging by summing is used when spectra are fairly stable over the sample period. Thus, a S:N of 3000:1 is readily achieved by averaging 100 spectra.

Spatial averaging or pixel boxcar averaging can be used to improve S:N when observed spectral structures are broad. The traditional boxcar algorithm averages n pixel values on each side of a given pixel.

Time-based and spatial-based algorithms are not correlated, so therefore the improvement in S:N is the product of the two processes.

In review, large-well devices are far less sensitive than small-well devices and thus, require a longer integration time for the same output. Large-well devices achieve a good S:N because they integrate out photon noise. Small-well devices must use mathematical signal averaging to achieve the same results as large-well devices, but small-well devices can achieve the results in the same period of time. This

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kind of signal averaging was not possible in the past because analog-to-digital converters and computers were too slow.

Large-well devices consume large amounts of power, resulting in the need to build thermoelectric coolers to control temperature and reduce electronic noise. Then, even more power is required for the temperature stabilization hardware. But small-well devices only need to use signal averaging to achieve the same results as large-well devices, and have the advantages of remaining cool and less noisy.

## **Internal Operation**

## **Pixel Definition**

A series of pixels in the beginning of the scan have been covered with an opaque material to compensate for thermal induced drift of the baseline signal. As the USB4000 warms up, the baseline signal will shift slowly downward a few counts depending on the external environment. The baseline signal is set between 90 and 140 counts at the time of manufacture. If the baseline signal is manually adjusted, it should be left high enough to allow for system drift. The following is a description of all of the pixels:

Pixel	Description
1–5	Not usable
6–18	Optical black pixels
19–21	Transition pixels
22–3669	Optical active pixels
3670–3681	Not usable

# **Timing Signals**

## Strobe Signals

## Single Strobe

The Single Strobe signal is a programmable TTL pulse that occurs at a user determined time during each integration period. This pulse has a user defined delay and pulse width. The pulse is only active if the Lamp Enable command is active. This pulse allows for synchronization of external devices to the spectrometers integration period. The Strobe delay can range from 0 to 30 ms and the pulse width can range from 1 $\mu$ s to the full 30ms.



## **Continuous Strobe**

The Continuous Strobe signal is a programmable frequency pulse-train (50% duty cycle). The range of frequency is  $100\mu$ s to 60s. The pulse is only active if the Lamp Enable command is active

## Synchronizing Strobe Events

If the application requires more than one pulse per integration period, the user needs to insure the continuous strobe and integration period are synchronized. The integration time must be set so that an equal number of strobe events occurs during any given integration period. This synchronization only occurs when the integration period is a multiple of a power of 2.

# **Triggering Modes**

The USB4000 supports three triggering modes, which are set with the Trigger Mode command. Detailed information of each triggering mode follows.

## Normal

In this mode, the USB4000 uses the user-supplied integration clock and continuously scans the CCD array.

## **External Software Trigger**

In this mode, the USB4000 uses the user-defined integration clock; however, the A/D converter is required to wait until the Trigger Input Signal goes HIGH before it acquires the data at the start of the next integration period. This is an asynchronous trigger mode by allows the user to define an integration period.

## **External Hardware Trigger**

In this mode, the USB4000 uses an external rising edge of a TTL signal to begin a single integration cycle. The integration time is input by the user into the software before the trigger occurs.

## **External Synchronization Trigger**

In this mode of the USB4000, the integration clock is essentially input into the spectrometer. This allows the spectrometer to be synchronized with another device. The synchronized trigger must be a TTL signal with a frequency range of 5ms to 60s.

## **Digital Inputs & Outputs**

## General Purpose Inputs/Outputs (GPIO)

The USB4000 has 6 user programmable digital Input/Output pins, which can be accessed at the 30-pin accessory connector. Through software, the state of these I/O pins can be defined

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and used for multi-purpose applications such as communications buses, sending digital values to an LCD/LED display, or even implementing complex feedback systems.

```
GPIO Recommended Operating Levels:

VIL(min) = -0.5V

VIL(max) = 0.8V

VIH(min) = 2.0V

VIH(max) = 3.6V

VOL(max) = 0.4V

VOH(min) = 2.4V

IOL = 24mA

IOH = -24mA
```

GPIO Absolute Maximum Ratings are as follows: VIN(min) = -0.5V VIN(max) = 4.0V

## **Communication and Interface**

## USB 2.0

480-Mbit Universal Serial Bus allows for ultra fast data transfer. This is the main communication standard for PC users. The USB BUS also provides power as well as communications over a single cord. Thereby allowing the USB4000 to operate anywhere you can take a laptop computer without any bulky external power supplies.

## **RS-232**

Also known as serial port communication, RS232 is a standard in PC and industrial device communications. Using transmit and receive signals this option allows the USB4000 to be a standalone device, which can output data to other logic devices/controllers such as a PLC or microcontroller. The USB4000 requires an external 5-Volt power source when operating in RS-232 mode.

## I2C

Inter-Integrated Circuit 2-Wire serial BUS is widely used in embedded systems applications. With I<sup>2</sup>C you can add peripherals to your system without using valuable resources like I/O ports.

## SPI

Serial Peripheral Interface is also a widely used communication standard in embedded systems applications. It is a 3-wire interface that can be used to communicate to peripherials.



# USB4000 USB Port Interface Communications and Control Information

## Overview

The USB4000 is a microcontroller-based Miniature Fiber Optic Spectrometer that can communicate via the Universal Serial Bus or RS-232. This section contains the necessary command information for controlling the USB200 via the USB interface. This information is only pertinent to users who wish to not utilize Ocean Optics 32 bit driver to interface to the USB4000. Only experienced USB programmers should attempt to interface to the USB4000 via these methods.

## Hardware Description

The USB4000 utilizes a Cypress CY7C68013 microcontroller that has a high speed 8051 combined with an USB2.0 ASIC. Program code and data coefficients are stored in external  $E^2PROM$  that are loaded at boot-up via the  $I^2C$  bus. The microcontroller has 8K of internal SRAM and 64K of external SRAM. Maximum throughput for spectral data is achieved when data flows directly from the external FIFO's directly across the USB bus. In this mode the 8051 does not have access to the data and thus no manipulation of the data is possible.

## **USB** Information

Ocean Optics Vendor ID number is 2457. The USB4000 can have 2 Product ID's depending upon the EEPROM configuration. In the case where the code is loaded from the EEPROM the PID is 0x1012. The microcontroller allows for the code to be loaded from the host processor (Re-numeration), in this case the PID is 0x1011.

# **Instruction Set**

## **Command Syntax**

The list of the commands is shown in the following table followed by a detailed description of each command. The length of the data depends on the command. All commands are sent to the USB4000 through End Point 1 Out (EP1). All spectra data is acquired through End Point 2 and 6 In and all other queries are retrieved through End Point 1 In (EP1). The endpoints enabled and their order is:

Pipe #	Description	Туре	Hi Speed Size (Bytes)	Full Speed Size (Bytes)
0	End Point 1 Out	Bulk	64	64
1	End Point 2 In	Bulk	512	64



Pipe #	Description	Туре	Hi Speed Size (Bytes)	Full Speed Size (Bytes)
2	End Point 6 In	Bulk	512	64
3	End Point 1 In	Bulk	64	64

## **USB Command Summary**

EP2 Command Byte Value	Description	Version
0x01	Initialize USB4000	0.90.0
0x02	Set Integration Time	0.90.0
0x03	Set Strobe Enable Status	0.90.0
0x04	Set Shutdown Mode	0.90.0
0x05	Query Information	0.90.0
0x06	Write Information	0.90.0
0x09	Request Spectra	0.90.0
0x0A	Set Trigger Mode	0.90.0
0x0B	Query number of Plug-in Accessories Present	0.90.0
0x0C	Query Plug-in Identifiers	0.90.0
0x0D	Detect Plug-ins	0.90.0
0x60	General I <sup>2</sup> C Read	0.90.0
0x61	General I <sup>2</sup> C Write	0.90.0
0x62	General SPI I/O	0.90.0
0x68	PSOC Read	0.90.0
0x69	PSOC Write	0.90.0
0x6A	Write Register Information	0.90.0
0x6B	Read Register Information	0.90.0
0x6C	Read PCB Temperature	0.90.0
0x6D	Read Irradiance Calibration Factors	0.90.0
0x6E	Write Irradiance Calibration Factors	0.90.0
0xFE	Query Information	0.90.0



## **USB** Command Descriptions

A detailed description of all USB4000 commands follows. While all commands are sent to EP1 over the USB port, the byte sequence is command dependent. The general format is the first byte is the command value and the additional bytes are command specific values.

Byte 0	Byte 1	Byte 2	 Byte n-1
Commano Byte	Command Specific	Command Specific	 Command Specific

## Initialize USB4000

Description: Initializes certain parameters on the USB4000 and sets internal variables based on the USB communication speed the device is operating at. This command should be called at the start of every session however if the user does not call it, it will be executed on the first Request Scan command. The default vales are set as follows:

Parameter	Default Value
Trigger Mode	0 – Normal Trigger

#### **Byte Format**

Byte 0	
0x01	

## **Set Integration Time**

Description: Sets the USB4000 integration time in microseconds. The value is a 32-bit value whose acceptable range is 10 - 65,535,000 us. If the value is outside this range the value is unchanged. For integration times less than 655,000 us, the integration counter has a resolution of 10 us. For integration times greater than this the integration counter has a resolution of 1 ms.

Byte 0	Byte 1	Byte 2	Byte 3	Byte 4
0x02	LSW- LSB	LSW-MSB	MSW-LSB	MSW-LSB

MSW & LSW: Most/Least Significant Word

MSB & LSB: Most/Least Significant Byte

## Set Strobe Enable Status

Description: Sets the USB4000 Lamp Enable line (J2 pin 4) as follows. The Single Strobe and Continuous Strobe signals are enabled/disabled by this Lamp Enable Signal.



Data Byte = $0 \rightarrow$ Lamp Enable Low/Off	
Data Byte = $!0 \rightarrow$ Lamp Enable HIGH/On	

#### **Byte Format**

Byte 0	Byte 1	Byte 2
0x03	Data byte LSB	Data Byte MSB

### Set Shutdown Mode

Description: Sets the USB4000 shutdown mode. When shutdown, the internal FX2 microcontroller is continuously running however all other functionality is disabled. In this power down mode the current consumption is reduced to 250mA (operating current for the FX2 microcontroller). When shutdown is active (active low), the external 5V signal (V5\_Switched pin 3) is disabled in addition to all other signals except  $I^2C$  lines.

Data Byte = $0 \rightarrow$ Shutdown everything but the FX2	
Data Byte = $!0 \rightarrow$ Power up entire Spectrometer	

#### **Byte Format**

Byte 0	Byte 1	Byte 2
0x04	Data byte LSB	Data Byte MSB

## **Query Information**

Description: Query's any of the 20 stored spectrometer configuration variables. The Query command is sent to End Point 1 Out and the data is retrieved through End Point 1 In. The 20 configuration variables are indexed as follows



Data Byte - Description
0 – Serial Number
$1 - 0^{th}$ order Wavelength Calibration Coefficient
$2-1^{st}$ order Wavelength Calibration Coefficient
$3-2^{nd}$ order Wavelength Calibration Coefficient
$4 - 3^{rd}$ order Wavelength Calibration Coefficient
5 – Stray light constant
$6 - 0^{th}$ order non-linearity correction coefficient
$7 - 1^{st}$ order non-linearity correction coefficient
$8 - 2^{nd}$ order non-linearity correction coefficient
$9 - 3^{rd}$ order non-linearity correction coefficient
$10-4^{\text{th}}$ order non-linearity correction coefficient
$11 - 5^{\text{th}}$ order non-linearity correction coefficient
$12 - 6^{\text{th}}_{\text{th}}$ order non-linearity correction coefficient
13 – 7 <sup>th</sup> order non-linearity correction coefficient
14 – Polynomial order of non-linearity calibration
15 – Optical bench configuration: gg fff sss
gg – Grating #, fff – filter wavelength, sss – slit size
16 – USB4000 configuration: AWL V
A – Array coating Mfg, W – Array wavelength (VIS, UV, OFLV), L
– L2 lens installed, V – CPLD Version
17 – Reserved
18 – Reserved
19 – Reserved

#### **Byte Format**

Byte 0	Byte 1	
0x05	Data byte	

#### **Return Format (EP7)**

The data is returned in ASCII format and read in by the host through End Point 7.

Byte 0	Byte 1	Byte 2	Byte 3	 Byte 17
0x05	Configuration Index	ASCII byte 0	ASCII byte 1	 ASCII byte 15

#### Write Information

Description: Writes any of the 19 stored spectrometer configuration variables to EEPROM. The 19 configuration variables are indexed as described in the Query Information. The information to be written is transferred as ASCII information.

#### **Byte Format**

Byte 0	Byte 1	Byte 2	Byte 3	 Byte 17
0x06	Configuration Index	ASCII byte 0	ASCII byte 1	 ASCII byte 15



## **Request Spectra**

Description: Initiates a spectra acquisition. The USB4000 will acquire a complete spectra (3840 pixel values). The data is returned in bulk transfer mode through EP2 and EP6 depending on the USB Communication Speed. The table below provides the pixel orderint overview for the 2 different speeds. The pixel values are decoded as described below.

#### **Byte Format**

Byte 0	
0x09	

#### **Return Format**

The format for the returned spectral data is dependant upon the USB communication speed. The format for both High Speed (480 Mbps) and Full Speed (12Mbps) is shown below. All pixel values are 16 bit values which are organized in LSB | MSB order. There is an additional packet containing one value that is used as a flag to insure proper synchronization between the PC and USB4000.

#### USB High Speed (480Mbps) Packet Format

In this mode the first 2K worth of data is read from EP6In and the rest is read from EP2In. The packet format is described below.

Packet #	End Point	# Bytes	Pixels
0	EP6In	512	0-255
1	EP6In	512	256-511
2	EP6In	512	512-767
3	EP6In	512	768-1023
4	EP2In	512	1024-1279
5	EP2In	512	1280-1535
	EP2In	512	
14	EP2In	512	3584–3840
15	EP2In	1	Sync Packet

The format for the first packet is as follows (all other packets except the synch packet has a similar format except the pixel numbers are incremented by 256 pixels for each packet).

Packet 0			
Byte 0	Byte 1	Byte 2	Byte 3
Pixel 0 LSB	Pixel 0 MSB	Pixel 1 LSB	Pixel 2 MSB
•••			
		Byte 510	Byte 511
		Pixel 255 LSB	Pixel 255 MSB



#### Packet 15 – Synchronization Packet (1 byte)

Byte 0

0x69

### USB Full Speed (12Mbps) Packet Format

In this mode all data is read from EP2In. The pixel and packet format is shown below.

Packet #	End Point	# Bytes	Pixels
0	EP2In	64	0-31
1	EP2In	64	32-63
2	EP2In	64	64-95
	EP2In	64	
119	EP2In	64	3808–3839
120	EP2In	1	Sync Packet

#### Packet 0

Byte 0	Byte 1	Byte 2	Byte 3
Pixel 0 LSB	Pixel 0 MSB	Pixel 1 LSB	Pixel 2 MSB

•••		
	Byte 62	Byte 63
	Pixel 31 LSB	Pixel 31 MSB

Packet 120 – Synchronization Packet (1 byte)

Byte 0 0x69

## Set Trigger Mode

Description: Sets the USB4000 Trigger mode to one of three states. If an unacceptable value is passed then the trigger state is unchanged (Refer to the USB4000 manual for a description of the trigger modes)

Data Value = $0 \rightarrow$ Normal (Free running) Mode
Data Value = 1 $\rightarrow$ Software Trigger Mode
Data Value = $2 \rightarrow$ External Synchronization Trigger Mode
Data Value = 3  → External Hardware Trigger Mode

#### **Byte Format**

Byte 0	Byte 1	Byte 2
0x0A	Data Value LSB	Data Value MSB



## Query Number of Plug-in Accessories

Description: Query's the number of Plug-in accessories preset. This is determined at power up and whenever the Plug-in Detect command is issued

B	Byte Format	
	Byte 0	
	0x0B	

#### **Return Format**

The data is returned in Binary format and read in by the host through End Point 7.

Byte 0	
Value (BYTE)	

## **Query Plug-in Identifiers**

Description: Query's the Plug-in accessories identifiers. This command returns 7 bytes with the last byte always being zero at this point. Each of the first 6 bytes correspond to Ocean Optics compatible devices which responded appropriately for  $I^2C$  addresses 2 through 7 respectively. The  $I^2C$  address are reserved for various categories of devices and the value for each category is shown below.  $I^2C$  addresses 0-1 are reserved for loading program code from EEPROMS

B	lyte Format
	Byte 0
	0x0C

#### **Return Format**

The data is returned in Binary format and read in by the host through End Point 7.

Byte 0	Byte 1	 Byte 5	Byte 6
Value @ I <sup>2</sup> C address 2	Value @ I <sup>2</sup> C address 3	 Value @ I <sup>2</sup> C address 7	0x00

## **Detect Plug-ins**

Description: Reads all of the plug-in accessories that are plugged into the  $I^2C$  bus. No data values are returned.

B	Byte Format	
	Byte 0	

0x0D



## General I<sup>2</sup>C Read

Description: Performs a general purpose read on the  $I^2C$  pins for interfacing to attached peripherals. The time to complete the command is determined by the amount of data transferred and the response time of the peripheral. The  $I^2C$  bus runs at 400KHz. The maximum number of bytes that can be read is 61.

#### **Command Byte Format**

Byte 0	Byte 1	Byte 2
0x60	I <sup>2</sup> C Address	Bytes to Read

#### **Return Byte Format**

Byte 0	Byte 1	Byte 2	Byte 3	 Byte N+3
I <sup>2</sup> C Results	I <sup>2</sup> C Address	Bytes to Read	Data Byte 0	 Data byte N

I <sup>2</sup> C Result Value	Description
0	I <sup>2</sup> C bus Idle
1	I <sup>2</sup> C bus Sending Data
2	I <sup>2</sup> C bus Receiving Data
3	I <sup>2</sup> C bus Receiving first byte of string
5	I <sup>2</sup> C bus in waiting for STOP condition
6	I <sup>2</sup> C experienced Bit Error
7	I <sup>2</sup> C experience a Not Acknowledge (NAK) Condition
8	I <sup>2</sup> C experienced successful transfer
9	I <sup>2</sup> C bus timed out

## General I<sup>2</sup>C Write

Description: Performs a general purpose write on the  $I^2C$  pins for interfacing to attached peripherals. The time to complete the command is determined by the amount of data transferred and the response time of the peripheral. The  $I^2C$  bus runs at 400KHz. The results codes are described above.

#### **Command Byte Format**

Byte 0	Byte 1	Byte 2	Byte 3	•••	Byte N+3
0x61	I <sup>2</sup> C Address	Bytes to Write	Data Byte 0		Data byte N

#### **Return Byte Format**

Byte 0	
I <sup>2</sup> C Results	



# **General SPI Input/Output**

Description: Performs a general-purpose write and read on the SPI bus for interfacing to attached peripherals. The time to complete the command is determined by the amount of data transferred and the response time of the peripheral. The SPI bus runs at ~25KHz Clock. The maximum number of bytes that can be written or read is 61. During this transfer the SPI Chip Select signal is driven to an active LOW TTL level. Data is transmitted out the MOSI (Master Out Slave In) line on the rising edge of the clock signal. Data is also latched in the from the MISO line on the falling edge of the clock signal.

#### **Command Byte Format**

Byte 0	Byte 1	Byte 2	Byte 3	 Byte N+2
0x62	# of Bytes (N)	Write Byte 0	Write Byte 1	 Write Byte N

#### **Return Byte Format**

Byte 0	Byte 1	Byte 2	Byte 3	 Byte N+1
# of Bytes (N)	Read Byte 0	Read Byte 1	Read Byte 2	 Read Byte N

## Write Register Information

Description: Most all of the controllable parameters for the USB4000 are accessible through this command (i.e GPIO, strobe parameters, etc). A complete list of these parameters with the associate register information is shown in the table below. Commands are written to End Point 1 Out typically with 4 bytes (some commands may require more data bytes). All data values are 16 bit values transferred in MSB | LSB order. This command requires 100us to complete; the calling program needs to delay for this length of time before issuing another command. In some instances, other commands will also write to these registers (i.e. integration time), in these cases the user has the options of setting the parameters through 2 different methods.

#### **Byte Format**

Byte 0	Byte 1	Byte 2	Byte 3
0x6A	Register Value	Data Byte LSB	Data Byte MSB





Register Address	Description	Default Value	Min Value	Max Value	Time Base
0x00 <sup>*</sup>	Master Clock Counter Divisor	24	1	0xFFFF	48MHz
0x04	FPGA Firmware Version (Read Only)				
0x08	Continuous Strobe Timer Interval Divisor	48000	0	0xFFFF	Continuous Strobe Base Clock (see Register 0x0C)
0x0C	Continuous Strobe Base Clock Divisor	4800	0	0xFFFF	48MHz
0x10 <sup>*</sup>	Integration Period Base Clock Divisor	480	0	0xFFFF	48MHz
0x14	Set base_clk or base_clkx2 0: base_clk 1: base_clkx2	0	0	1	N/A
0x18 <sup>*</sup>	Integration Clock Timer Divisor	600	0	0xFFFF	Integration Period Base Clock (see Register 0x10)
0x20	Reserved				
0x28	Hardware Trigger Delay – Number of Master Clock cycles to delay when in External Hardware Trigger mode before the start of the integration period	0	0	0xFFFF	Master Clock (see Register 0x00)
0x2C <sup>&amp;*</sup>	Trigger Mode 0 = Free Running 1 = External Synchronization 2 = External Hardware Trigger	0	0	2	N/A
0x30	Reserved				



Register Address	Description	Default Value	Min Value	Max Value	Time Base
0x38	Single Strobe High Clock Transition Delay Count	1	0	0xFFFF	2MHz
0x3C	Single Strobe Low Clock Transition Delay Count	5	0	0xFFFF	2MHz
0x40	Lamp Enable	0	0	1	N/A
0x48	GPIO Mux Register 0: pin is GPIO pin 1: pin is alternate function	0	0	0x03FF	N/A
0x50	GPIO Output Enable 1: pin is output 0: pin is input	0	0	0x03FF	N/A
0x54	GPIO Data Register For Ouput: Write value of signal For Input: Read current GPIO state	0	0	0x03FF	N/A
0x58	Reserved				
0x5C	Reserved				
0x74	Offset Value	0	0	0xFFFF	N/A
0x78	Offset Control Bit 0 = Enable Auto- Nulling Bit 1 = Enable Auto- Nulling Saturation	0	0	0xFFFF	N/A
0x7C	FPGA Programmed (Read Only)	0x5501	N/A	N/A	N/A
0x80	Maximum Saturation Level	0x55F0	0	0xFFFF	N/A

Notes: \* - User should not change these values because spectrometer performance can be effected. This information is included just for completeness

& - These values are controlled by other command interfaces to the USB4000 (i.e Set integration time command).



## **Read Register Information**

Description: Read the values from any of the registers above. This command is sent to End Point 1 Out and the data is retrieved through End Point 1 In.

#### **Byte Format**

Byte 0	Byte 1
0x6B	Register Value

#### **Return Format (EP1In)**

Byte 0	Byte 1	Byte 2
Register Value	Value MSB	Value LSB

## **Read PCB Temperature**

Description: Read the Printed Circuit Board Temperature. The USB4000 contains an DS1721 temperature sensor chip which is mounted to the under side of the PCB. This command is sent to End Point 1 Out and the data is retrieved through End Point 1 In. The value returned is a signed 16-bit A/D conversion value, which is equated to temperature by:

Temperature ( $^{\circ}$ C) = .003906 \* ADC Value

#### Byte Format

Byte 0 0x6C

#### **Return Format (EP1In)**

Byte 0	Byte 1	Byte 2
Read Result	ADC Value	ADC Value
Keau Kesuli	LSB	MSB

If the operation was successful, the Read Result byte value will be 0x08. All other values indicate the operation was unsuccessful

## **Read Irradiance Factors**

Description: Reads 60 bytes of data, which is utilized for Irradiance Calibration information from the desired EEPROM memory address.

**Byte Format** 

 · J · · · = · · · · · · · · · ·					
Byte 0	Byte 1	Byte 2			
0x6D	EEPROM Address LSB	EEPROM Address MSB			



USB4000 Data Sheet

**Return Byte Format** 

Byte 0	Byte 1	 Byte 59
Byte 0	Byte 1	 Byte 59

## Write Irradiance Factors

Description: Write 60 bytes of data, which is utilized for Irradiance Calibration information to the desired EEPROM memory address.

**Byte Format** 

Byte 0	Byte 1	Byte 2	Byte 3	 Byte 62
0x6E	EEPROM Address LSB	EEPROM Address MSB	Byte 0	 Byte 59

## **Query Status**

Description: Returns a packet of information, which contains the current operating information. The structure of the status packet is given below

#### Byte Format

Byte 0	
0xFE	

#### **Return Format**

The data is returned in Binary format and read in by the host through End Point 1 In. The structure for the return information is as follows

Byte	Description	Comments
0-1	Number of Pixels - WORD	LSB   MSB order
2-5	Integration Time - WORD	Integration time in $\mu$ s – LSW   MSW. Within each word order is LSB   MSB
6	Lamp Enable	0 – Signal LOW !0 – Signal HIGH
7	Trigger Mode Value	
8	Spectral Acquisition Status	
9	Packets In Spectra	Returns the number of Packets in a Request Spectra Command.
10	Power Down Flag	0 – Circuit is powered down 1 – Circuit is powered up



#### USB4000 Data Sheet

Byte	Description	Comments
11	Packet Count	Number of packets that have been loaded into End Point Memory
12	Reserved	
13	Reserved	
14	USB Communications Speed	0 – Full Speed (12Mbs) 0x80 – High Speed (480 Mbps)
15	Reserved	



# Appendix A: USB4000 Serial Port Interface Communications and Control Information

## Overview

The USB4000 is a microcontroller-based Miniature Fiber Optic, which can communicate via the Universal Serial Bus or RS-232. This document contains the necessary command information for controlling the USB4000 via the RS-232 interface.

## Hardware Description

The USB4000 utilizes a Cypress FX2 microcontroller, which has a high speed 8051, combined with an USB ASIC. Program code and data coefficients are stored in external  $E^2$ PROM, which are loaded at boot-up via the I<sup>2</sup>C bus.

## **Spectral Memory Storage**

The USB4000 can store up to 4 spectra in the spectral data section. The full spectra (3840 points) are stored. Spectra are organized in a stack formation (i.e. LIFO). Its important to realize that the spectral math (averaging and boxcarring) is performed when the data is transmitted out and not when the spectra are acquired. This allows up to 4 scans to be acquired with one command (set A=4 and send the S command) and then read out on scan at a time (set A=1 and use Z1 command).

## **Instruction Set**

## **Command Syntax**

The list of the command are shown in the following table along with the microcode version number they were introduced with. All commands consist of an ASCII character passed over the serial port, followed by some data. The length of the data depends on the command. The format for the data is either ASCII or binary (default). The ASCII mode is set with the "a" command and the binary mode with the "b" command. To insure accurate communications, all commands respond with an ACK (ASCII 6) for an acceptable command or a NAK (ASCII 21) for an unacceptable command (i.e. data value specified out of range).

In the ASCII data value mode, the USB4000 "echoes" the command back out the RS-232 port. In binary mode all data, except where noted, passes as 16-bit unsigned integers (WORDs) with the MSB followed by the LSB. By issuing the "v command" (Version number query), the data mode can be determined by viewing the response (ASCII or binary).

In a typical data acquisition session, the user sends commands to implement the desired spectral acquisition parameters (integration time, etc.). Then the user sends commands to acquire spectra (S command) with the previously set parameters. If necessary, the baud rate can be changed at the beginning of this sequence to speed up the data transmission process.



### **Command Summary**

Letter	Description	Version
А	Adds scans	2.00.0
В	Set Pixel Boxcar	2.00.0
С		
D		
E		
F		
G	Set Data Compression	2.00.0
Н		
I	Sets integration time	2.00.0
J	Sets Lamp Enable Line	2.00.0
К	Changes baud rate	2.00.0
L	Clear Memory	
М	Set Data Storage Mode	2.00.0
N		
0		
Р	Partial Pixel Mode	2.00.0
Q		
R		
S	Starts spectral acquisition with previously set parameters	2.00.0
Т	Sets trigger mode	2.00.0
U		
V		
W	Query scans in memory	2.00.0
Х		
Y		
Z	Read out Scan from memory	2.00.0
А	Set ASCII mode for data values	2.00.0
b	Set binary mode for data values	2.00.0
k	Sets Checksum mode	2.00.0
v	Provides microcode version #	2.00.0
x	Sets calibration coefficients	2.00.0
?	Queries parameter values	2.00.0
+	Reads the plugged-in accessories	2.00.0



## **Command Descriptions**

A detailed description of all USB4000 commands follows. The {} indicates a data value which is interpreted as either ASCII or binary (default). The default value indicates the value of the parameter upon power up.

## Add Scans

Description: Sets the number of discrete spectra to be summed together. Since this routine can add up to 4 spectra, each with a maximum intensity of 16383, the maximum returned intensity is 65535.

Command Syntax:	A{DATA WORD}
Response:	ACK or NAK
Range:	1-4
Default value:	1

## **Pixel Boxcar Width**

Description: Sets the number of pixels to be averaged together. A value of *n* specifies the averaging of *n* pixels to the right and *n* pixels to the left. This routine uses 32-bit integers so that intermediate overflow will not occur; however, the result is truncated to a 16-bit integer prior to transmission of the data. This math is performed just prior to each pixel value being transmitted out. Values greater than  $\sim$ 3 will exceed the idle time between values and slow down the overall transfer process.

Command Syntax:	B{DATA WORD}
Response:	ACK or NAK
Range:	0-15
Default value:	0

## Set Data Compression

Description: Specifies whether the data transmitted from the USB4000 should be compressed to speed data transfer rates. For more information on USB4000 Data Compression, see Technical Note 1.

Command Syntax:	G{DATA WORD}	
Response: ACK or NAK		
Range:	0 – Compression off !0 – Compression on	
Default value:	0	

## **Integration Time**

Description: Sets the USB4000's integration time, in microseconds, to the value specified.

Command Syntax:	I{32 bit DATA WORD}
Response:	ACK or NAK
Range:	10 - 65,000,000
Default value:	10,000



## Lamp Enable

Description: Sets the USB4000's Lamp Enable line to the value specified

Command Syntax:	J{DATA WORD}	
Value:0 = Light source/strobe off—Lamp Enable low!0 = Light source/strobe on—Lamp Enable high		
Response: ACK or NAK		
Default value:	0	

### **Baud Rate**

Description: Sets the USB4000's baud rate.

Command Syntax:	K{DATA WORD}			
Value:	0=2400 1=4800 2=9600			
value.	3=19200	4=38400	5=Not Supported	6=115,200
Response:	See below			
Default value:	2			

When changing baud rates, the following sequence must be followed:

- 1. Controlling program sends K with desired baud rate, communicating at the old baud rate
- 2. A/D responds with ACK at old baud rate, otherwise it responds with NAK and the process is aborted
- 3. Controlling program waits longer than 50 milliseconds
- 4. Controlling program sends K with desired baud rate, communicating at the new baud rate
- 5. A/D responds with ACK at new baud rate, otherwise it responds with NAK and old baud rate is used

#### Note

If a deviation occurs at any step, the previous baud rate is used.

## **Clear Memory**

Description: Clears spectral data memory based upon the value specified. Clearing memory is immediate since only pointer values are reinitialized.

Command Syntax:	L{DATA WORD}		
Value:0 = Clear Spectral memory1 = Clear Spectral memory			
Response:	ACK or NAK		
Default value:	N/A		



## Data Storage Mode

Command Syntax:	M{DATA WORD}
Value:	<ul><li>0 = Scans transmitted through the serial port</li><li>1 = Scans stored in spectral memory and not transmitted</li></ul>
Response:	ACK or NAK
Default value:	0

Description: Sets the data storage mode for future spectral acquisitions.

## Pixel Mode

Description: Specifies which pixels are transmitted. While all pixels are acquired on every scan, this parameter determines which pixels will be transmitted out the serial port.

Command Syntax:	P{DATA WORD}	
Value:	Description 0 = all 3840 pixels 1 = every n <sup>th</sup> pixel with no averaging 2 = N/A 3 = pixel x through y every n pixels 4 = up to 10 randomly selected pixels between 0 and 2047 (denoted p1, p2, p10)	Example P 0 (spaces for clarity only) P 1 n P 2 n P 3 x y n P 4 n p1 p2 p3p10
Response:	ACK or NAK	
Default value:	0	

#### Note

Since most applications only require a subset of the spectrum, this mode can greatly reduce the amount of time required to transmit a spectrum while still providing all of the desired data. This mode is helpful when interfacing to PLCs or other processing equipment.

# **Spectral Acquisition**

Description: Acquires spectra with the current set of operating parameters. When executed, this command determines the amount of memory required. If sufficient memory does not exist, an ETX (ASCII 3) is immediately returned and no spectra are acquired. An STX (ASCII 2) is sent once the data is acquired and stored. If the Data Storage Mode value is 0, then the data is transmitted immediately.



Command Syntax:	S
Response:	If successful, STX followed by data If unsuccessful, ETX

The format of returned spectra includes a header to indicate scan number, channel number, pixel mode, etc. The format is as follows:

WORD 0xFFFF – start of spectrum

WORD channel number ALWAYS 0

WORD scan number ALWAYS 0

WORD scans in memory ALWAYS 0

DWORD integration time in microseconds

WORD pixel mode

WORDs if pixel mode not 0, indicates parameters passed to the Pixel Mode command (P)

WORDs spectral data

WORD 0xFFFD - end of spectrum

#### **Trigger Mode**

Description: Sets the USB4000's external trigger mode to the value specified.

Command Syntax:	T{DATA WORD}
Value:	<ul> <li>0 = Normal – Continuously scanning</li> <li>1 = Software trigger</li> <li>2 = External Synchronization</li> <li>3 = External Hardware Trigger</li> </ul>
Response:	ACK or NAK
Default value:	0

### Number of Scans in Memory

Description: Returns the number of scans in spectral data memory.

Command Syntax:	W{DATA WORD}
Value:	1 = Return number of scans in fast memory
Response:	ACK or NAK
Default value	N/A



## **Read Out Scan from Memory**

Description: Reads out one scan from the type of memory specified. The data is returned with the header information as described in the Spectral Acquisition command (S).

Command Syntax:	Z{DATA WORD}
Value:	1 = Read scan from spectral memory
Response:	If successful, ACK followed by data (See Spectral Acquisition [S] for header information). If unsuccessful, NAK.
Default value:	N/A

## ASCII Data Mode

Description: Sets the mode in which data values are interpreted to be ASCII. Only unsigned integer values (0 - 65535) are allowed in this mode and the data values are terminated with a carriage return (ASCII 13) or linefeed (ASCII 10). In this mode the USB4000 "echos" the command and data values back ou the RS-232 port.

Command Syntax:	aA
Response:	ACK or NAK
Default value	N/A

#### Note

The command requires that the string "aA" be sent without any CR or LF. This is an attempt to insure that this mode is not entered inadvertently.

A legible response to the Version number query (v command) indicates the USB4000 is in the ASCII data mode.

## **Binary Data Mode**

Description: Sets the mode in which data values are interpreted to be binary. Only 16 bit unsigned integer values (0 - 65535) are allowed in this mode with the MSB followed by the LSB.

Command Syntax:	bB
Response:	ACK or NAK
Default value	Default at power up – not changed by Q command

#### Note

The command requires that the string "bB" be sent without any CR or LF. This is an attempt to insure that this mode is not entered inadvertently.



## **Checksum Mode**

Description: Specifies whether the USB4000 will generate and transmit a 16-bit checksum of the spectral data. This checksum can be used to test the validity of the spectral dat, and its use is recommended when reliable data scans are required. See Technical Note 2 for more information on checksum calculation.

Command Syntax:	k{DATA WORD}
Value:	0 = Do not transmit checksum value !0 = transmit checksum value at end of scan
Response:	ACK or NAK
Default value:	0

## Version Number Query

Description: Returns the version number of the code running on the microcontroller. A returned value of 1000 is interpreted as 1.00.0

Command Syntax:	v
Response:	ACK followed by {DATA WORD}
Default value	N/A



#### USB4000 Data Sheet

## **Calibration Constants**

Description: Writes one of the 16 possible calibration constant to EEPROM. The calibration constant is specified by the first DATA WORD which follows the x. The calibration constant is stored as an ASCII string with a max length of 15 characters. The string is not check to see if it makes sense.

Command Syntax:	x{DATA WORD}{ASCII STRING}
	DATA WORD Index description
	0 – Serial Number
	1 – 0 <sup>th</sup> order Wavelength Calibration Coefficient
	2 – 1 <sup>st</sup> order Wavelength Calibration Coefficient
	3 – 2 <sup>nd</sup> order Wavelength Calibration Coefficient
	4 – 3 <sup>rd</sup> order Wavelength Calibration Coefficient
	5 – Stray light constant
	6 – 0 <sup>th</sup> order non-linearity correction coefficient
	7 – 1 <sup>st</sup> order non-linearity correction coefficient
	8 – 2 <sup>nd</sup> order non-linearity correction coefficient
	9 – 3 <sup>rd</sup> order non-linearity correction coefficient
Value:	10 – 4 <sup>th</sup> order non-linearity correction coefficient
value.	11 – 5 <sup>th</sup> order non-linearity correction coefficient
	12 – 6 <sup>th</sup> order non-linearity correction coefficient
	13 – 7 <sup>th</sup> order non-linearity correction coefficient
	14 – Polynomial order of non-linearity calibration
	15 – Optical bench configuration: gg fff sss
	gg – Grating #, fff – filter wavelength, sss – slit size
	16 – USB4000 configuration: AWL V
	A – Array coating Mfg, W – Array wavelength (VIS, UV, OFLV), L – L2 lens installed, V – CPLD Version
	17 – Reserved
	18 – Reserved
	19 – Reserved
Response:	ACK or NAK
Default value:	N/A

To query the constants, use the ?x{DATA WORD} format to specify the desired constant

## Query Variable

Description: Returns the current value of the parameter specified. The syntax of this command requires two ASCII characters. The second ASCII character corresponds to the command character which sets the parameter of interest (acceptable values are B, A, I, K, T, J, y). A special case of this command is ?x (lower case) which requires an additional data word bee passed to indicate which calibration constant is to be queried.

Command Syntax:	?{ASCII character}
Response:	ACK followed by {DATA WORD}
Default value:	N/A



## Examples

Below are examples on how to use some of the commands. Commands are in **BOLD** and descriptions are in parenthesis. For clarity, the commands are shown in the ASCII mode (a command) instead of the default binary mode.

The desired operating conditions are: acquire spectra from spectrometer channel 0 (master) with a 200ms integration time, set number of scan to add to 5 and operate at 57,600 Baud.

**aA** (Set ASCII Data Mode)

**K6<CR>** (Start baud rate change to 115,200)

Wait for ACK, change to 115200, wait for 20ms

**K5<CR>** (Verify command, communicate at 115200)

A2<CR> (Add 5 spectra)

I20000<CR> (Set integration time to 20ms)

- **S** (Acquire spectra)
- ... Repeat as necessary

## **Application Tips**

- During the software development phase of a project, the operating parameters of the USB4000 may become out-of-synch with the controlling program. It is good practice to cycle power on the USB4000 when errors occur.
- If you question the state of the USB4000, you can transmit a space (or another non-command) using a terminal emulator. If you receive a NAK, the USB4000 is awaiting a command; otherwise, it is still completing the previous command.
- For Windows users, use HyperTerminal as a terminal emulator after selecting the following:
  - 1. Select **File** | **Properties**.
  - 2. Under Connect using, select **Direct to Com x**.
  - 3. Click **Configure** and match the following Port Settings:

Bits per second (Baud rate): Set to desired rate

Data bits: 8

Parity: None

Stop bits: 1

Flow control: None

4. Click **OK** in Port Settings and in Properties dialog boxes.



# Technical Note 1: USB4000 Data Compression

Transmission of spectral data over the serial port is a relatively slow process. Even at 115,200 baud, the transmission of a complete 3840 point spectrum takes around 600 msec. The USB4000 implements a data compression routine that minimizes the amount of data that needs to be transferred over the RS-232 connection. Using the "G" command (Compressed Mode) and passing it a parameter of 1 enables the data compression. Every scan transmitted by the USB4000 will then be compressed. The compression algorithm is as follows:

- 1. The first pixel (a 16-bit unsigned integer) is always transmitted uncompressed.
- 2. The next byte is compared to 0x80.
  - If the byte is equal to 0x80, the next two bytes are taken as the pixel value (16-bit **unsigned** integer).
  - If the byte is not equal to 0x80, the value of this byte is taken as the difference in intensity from the previous pixel. This difference is interpreted as an 8-bit **signed** integer.
- 3. Repeat step 2 until all pixels have been read.

Using this data compression algorithm greatly increases the data transfer speed of the USB4000. Compression rates of 35-48% can easily be achieved with this algorithm.

The following shows a section of a spectral line source spectrum and the results of the data compression algorithm.

Pixel Value	Value Difference	Transmitted Bytes
185	0	0x80 0x00 0xB9
2151	1966	0x80 0x08 0x67
836	-1315	0x80 0x03 0x44
453	-383	0x80 0x01 0xC5
210	-243	0x80 0x00 0xD2
118	-92	0xA4
90	-28	0xE4
89	-1	0xFF
87	-2	0xFE
89	2	0x02
86	-3	0xFD
88	2	0x02
98	10	0x0A
121	23	0x17



Pixel Value	Value Difference	Transmitted Bytes
383	262	0x80 0x01 0x7F
1162	779	0x80 0x04 0x8A
634	-528	0x80 0x02 0x7A
356	-278	0x80 0x01 0x64
211	-145	0x80 0x00 0xD3
132	-79	0xB1
88	-44	0xD4
83	-5	0xFB
86	3	0x03
82	-4	0xFC
91	9	0x09
92	1	0x01
81	-11	0xF5
80	-1	0xFF
84	4	0x04
84	0	0x00
85	1	0x01
83	-2	0xFE
80	-3	0xFD
80	0	0x00
88	8	0x08
94	6	0x06
90	-4	0xFC
103	13	0x0D
111	8	0x08
138	27	0x1B

In this example, spectral data for 40 pixels is transmitted using only 60 bytes. If the same data set were transmitted using uncompressed data, it would require 80 bytes.

